New Diarylheptanoids and Kavalactone from Alpinia katsumadai HAYATA

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Two new diarylheptanoids, katsumains A (1) and B (2), and one new kavalactone, katsumadain (3), together with the three known compounds (4E,6E)-1,7-diphenylhepta-4,6-dien-3-one (4), (5R,6E)-1,7-diphenyl-5-hydroxyhept-6-en-3-one (5), and cardamonin (6), were isolated from the seeds of *Alpinia katsumadai* HAYATA. Their structures were elucidated mainly by spectroscopic methods (1D- and 2D-NMR) and by mass spectrometry (HR-ESI-MS). Besides, the erroneous nomenclatures for (+)-linderatin and (+)-neolinderatin as given in [10][11] were corrected to be 2',4',6'-trihydroxy-3'-[(3R,4R)-4-isopropyl-1-methylcyclohex-1-en-3-yl]dihydrochalcone for (+)-neolinderatin, respective-ly.

Introduction. – Alpinia katsumadai HAYATA (Zingiberaceae) occurs mainly in the Hainan, Guangxi, and Guangdong provinces in southern China, and the seeds of this plant are recorded in the Chinese Pharmacopoeia as Semen Alpinae Katsumadai (Cao Dou Kou in Chinese) for treatments of gastric disorders such as epigastric distension, nausea, vomiting, and anorexia [1]. Previous investigations of *A. katsumadai* have reported a variety of diarylheptanoids, flavonoids, monoterpenes, stilbenes, and diphenylpropanoids [2–4], among which the diarylheptanoids and flavonoids indicated potential bioactivities on antibiosis and vasorelaxation, and as anti-emetic compounds [5–7]. In our continuous investigation into the screening of bioactive lead compounds from *Alpinia* plants [4–6], we describe in this article the isolation and structure determination of two new diarylheptanoids bearing a chalcone moiety, namely katsumains A¹) (1) and B¹) (2), one new kavalactone, katsumadain¹) (3), along with the three known compounds (4*E*,6*E*)-1,7-diphenylhepta-4,6-dien-3-one (4), (5*R*,6*E*)-1,7-diphenyl-5-hydroxyhept-6-en-3-one (5), and cardamonin (6) from the seeds of *A. katsumadai* (*Fig. 1*).

Results and Discussion. – The powdered seeds were extracted with 70% EtOH to give the crude extract (4 kg). The total extract was suspended in H_2O and partitioned

¹⁾ Trivial atom numbering; for systematic names, see Exper. Part.

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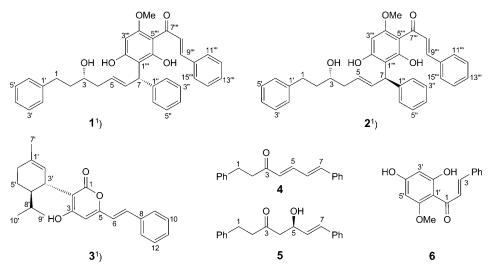


Fig. 1. Compounds 1-6 isolated from the seeds of Alpinia katsumadai HAYATA

successively with AcOEt and BuOH. The AcOEt fraction was separated by column chromatography on silica gel and *ODS* C_{18} repeatedly, followed by *Sephadex LH-20* column chromatography and prep. reversed-phase HPLC, affording the three new compounds 1-3 and the three known ones 4-6. The structures of the known compounds were confirmed by comparison of their physical and spectral data with the reported data, as (4E,6E)-1,7-diphenylhepta-4,6-dien-3-one [2] (4), (5R,6E)-1,7-diphenyl-5-hydroxyhept-6-en-3-one [2] (5), and cardamonin [2] (6).

Compound 1 was an optically active pale yellow amorphous solid, whose molecular formula was determined as $C_{35}H_{34}O_5$ by positive-ion HR-ESI-MS (m/z 557.2314, [M + Na^{+} , $C_{35}H_{34}NaO_{5}^{+}$). The IR spectrum indicated the presence of OH (3448 cm⁻¹) and ketone (1604 cm⁻¹) functional groups and aromatic rings (1567, 1514, and 1449 cm⁻¹). The ¹H- and ¹³C-NMR data of **1** (*Table 1*) showed the presence of three CH₂ (δ (C) 33.1 (C(1)), 39.7 (C(2)), and 41.7 (C(4)), one OH-bearing CH $(\delta(C)$ 72.1 (C(3)); $\delta(H)$ 3.52-3.57 (H-C(3))), and one CH group (δ (C) 44.3 (C(7)); δ (H) 5.14 (H-C(7)), assigned by the HSQC spectrum. Two sets of *trans* C=C bonds (δ (C) 128.6 (C(5)) and 135.3 (C(6)); δ (H) 5.50–5.56 (H–C(5)) and 6.29 (H–C(6)); δ (C) 129.5 (C(8'')) and 142.7 (C(9''')); $\delta(H)$ 7.83 (H-C(8''')) and 7.57 (H-C(9'''))) were indicated by their coupling constants (J = 15.4 and J = 15.6 Hz, resp.). One C=O at δ (C) 194.1 (C(7''')), one MeO at $\delta(C)$ 56.5 and $\delta(H)$ 3.80, and 24 sp² aromatic C-atoms were also evident. These observations indicated that 1 has a diarylheptanoid structure containing a chalcone (=1,3-diphenylprop-2-en-1-one) moiety. In the HMBC spectrum of 1(Fig. 2), the cross-peaks $\delta(H)$ 5.14 (H–C(7))/ $\delta(C)$ 145.9 (C(1'')), 128.8 (C(2'',6'')), 128.6 (C(5)), and 135.3 (C(6)), δ (H) 2.59–2.65 and 2.48–2.54 (CH₂(1))/ δ (C) 144.0 $(C(1')), 129.7 (C(2',6')), 39.7 (C(2)), and 72.1 (C(3)), \delta(H) 5.50 - 5.56 (H-C(5))/\delta(C)$ 72.1 (C(3)) and 41.7 (C(4)) confirmed the presence of the diarylheptanoid part. The correlations $\delta(H)$ 7.57 (H-C(9'''))/ $\delta(C)$ 129.5 (C(8''')), 137.3 (C(10''')), and 129.5 $(C(11''', 15''')), \delta(H)$ 7.83 $(H-C(8'''))/\delta(C)$ 194.1 (C(7'')), 142.7 (C(9'')), and 137.3

	1		2	
	$\delta(\mathrm{H})^{\mathrm{a}})$	$\delta(C)^{b})$	$\delta(\mathrm{H})^{\mathrm{a}})$	$\delta(C)^{b}$
CH ₂ (1)	2.59 - 2.65(m), 2.48 - 2.54(m)	33.1	2.59-2.65(m), 2.48-2.54(m)	33.0
$CH_2(2)$	1.69 - 1.72(m), 1.55 - 1.57(m)	39.7	1.67 - 1.74(m), 1.58 - 1.60(m)	39.7
H-C(3)	3.52 - 3.57(m)	72.1	3.49 - 3.54(m)	72.0
$CH_2(4)$	2.20(t, J = 6.2)	41.7	2.20 (q-like, J=7.2)	41.9
H-C(5)	5.50 - 5.56(m)	128.6	5.48 - 5.54 (m)	128.6
H-C(6)	6.29 (dd, J = 15.4, 8.7)	135.3	6.28 (dd, J = 15.4, 8.7)	135.4
H-C(7)	5.14(d, J = 8.7)	44.3	5.14(d, J = 8.7)	44.3
H-C(1')		144.0		144.0
H - C(2', 6')	7.01 - 7.02 (m)	129.7	7.04 - 7.06 (m)	129.6
H - C(3', 5')	7.09 - 7.11(m)	128.9	7.08 - 7.10 (m)	128.9
H-C(4')	6.98 - 7.00 (m)	126.9	6.97 - 6.98(m)	126.8
H - C(1'')		145.9		145.9
H-C(2",6")	7.12–7.14 (<i>m</i>)	128.8	7.13 - 7.15(m)	128.8
H-C(3",5")	7.07 - 7.08(m)	129.5	7.07 - 7.09(m)	129.5
H-C(4")	6.95 - 6.97 (m)	126.5	6.95 - 6.97 (m)	126.5
C(1''')		112.3		112.3
C(2''')		165.0		165.3
H-C(3")	5.94 (s)	92.6	5.94 (s)	92.7
C(4''')		163.3		163.3
C(5''')		106.8		106.8
C(6''')		166.5		166.6
C(7''')		194.1		194.0
H-C(8''')	7.83 (d, J = 15.6)	129.5	7.83 (d, J = 15.6)	129.5
H - C(9''')	7.57(d, J = 15.6)	142.7	7.57(d, J = 15.6)	142.7
C(10''')		137.3		137.3
H-C(11''',15''')	7.50 - 7.53 (m)	129.5	7.51 - 7.53 (m)	129.5
H-C(12",14")	7.30 - 7.31 (m)	130.3	7.31 - 7.32 (m)	130.3
H-C(13''')	7.27 - 7.29(m)	131.3	7.28 - 7.30 (m)	131.3
MeO	3.80 (s)	56.5	3.80 (s)	56.5

Table 1. ¹*H*- and ¹³*C*-*NMR* Data of 1^1) and 2^1). δ in ppm, J in Hz.

^a) Measured at 500 MHz. ^b) Measured at 125 MHz.

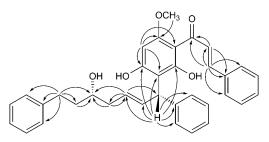


Fig. 2. Selected HMBCs (H \rightarrow C) of 1

(C(10''')), and $\delta(H)$ 3.80 (MeO)/ $\delta(C)$ 163.3 (C(4''')) confirmed the presence of the chalcone part. The link position of the chalcone and the diarylheptanoid parts was determined by the correlations $\delta(H)$ 5.14 (H–C(7))/ $\delta(C)$ 112.3 (C(1'')), 165.0

(C(2''')), and 166.5 (C(6''')). Thus, the constitutional formula of **1** was established as shown in *Fig. 1*. The ¹H- and ¹³C-NMR spectra of **1** were similar to the known compounds calyxin B (=(2*E*)-1-{2,4-dihydroxy-3-[(1*S*,2*E*,5*S*)-5-hydroxy-1,7-bis(4-hydroxyphenylhept-2-en-1-yl]-6-methoxyphenyl}-3-(4-hydroxyphenyl)prop-2-en-1-one) and epicalyxin B (=(2*E*)-1-{2,4-dihydroxy-3-[(1*R*,2*E*,5*S*)-5-hydroxy-1,7-bis(4-hydroxyphenylhept-2-en-1-yl]-6-methoxyphenyl}-3-(4-hydroxyphenyl)prop-2-en-1-one) [8].

The relative configuration at C(3) and C(7) was determined by comparison of the splitting patterns of CH₂(4) in the ¹H-NMR spectrum, and the absolute configuration by comparison of the optical activity with those of calyxin B and epicalyxin B [8]. Compound **1** was levorotatory and showed a *t* for CH₂(4) (δ (H) 2.20 (*t*, *J* = 6.2 Hz)) in the ¹H-NMR spectrum, identical to those of calyxins B and H [8][9]. The absolute configuration at C(3) and C(7) of **1** was determined to be (3*S*,7*S*). Thus, the structure of **1** was elucidated as (2*E*)-1-{2,4-dihydroxy-3-[(1*S*,2*E*,5*S*)-5-hydroxy-1,7-diphenylhept-2-en-1-yl]-6-methoxyphenyl}-3-phenylprop-2-en-1-one and named katsumain A.

Compound **2**, a pale yellow amorphous solid, was also optically active. The IR spectrum indicated the presence of OH (3434 cm⁻¹) and ketone (1600 cm⁻¹) functional groups and aromatic rings (1565, 1514, and 1450 cm⁻¹). The molecular formula was determined as $C_{35}H_{34}O_5$ by positive-ion HR-ESI-MS (m/z 557.2327 ([M+Na]⁺, $C_{35}H_{34}NaO_5^+$)) and thus was the same as that of **1**, indicating that **2** was an isomer of **1**. The ¹H- and ¹³C-NMR (*Table 1*) data of **2** were almost the same as those of **1**, except for the ¹H-NMR splitting pattern of CH₂(4), which was *q*-like in **2** but a *t* in **1**. The *q*-like splitting pattern of CH₂(4) (δ (H) 2.20 (*q*-like, J = 7.2 Hz)) and the optical-rotation sign of compound **2** were the same as those of epicalyxins B and H [8][9]. Therefore, the absolute configuration of **2** was determined to be (3*S*,7*R*) as shown in *Fig. 1*, and the structure of **2** was elucidated as (2*E*)-1-{2,4-dihydroxy-3-[(1*R*,2*E*,5*S*)-5-hydroxy-1,7-diphenylhept-2-en-1-yl]-6-methoxyphenyl}-3-phenylprop-2-en-1-one and named katsumain B.

Compound **3** was an optically active pale white powder. The molecular formula was determined as $C_{23}H_{26}O_3$ by negative-ion HR-ESI-MS (m/z 349.1790 ($[M-H]^-$, $C_{23}H_{25}O_3^-$)). The IR spectrum showed absorption bands for OH (3432 cm⁻¹) and conjugated C=O (1649 cm⁻¹) groups. The ¹H-NMR spectrum of **3** (*Table 2*) indicated the presence of one Ph group (δ (H) 7.57 – 7.32 (5 H)), a pair of *trans*-positioned olefinic H-atoms (δ (H) 7.38 (H–C(7)) and 6.77 (H–C(6), J = 16 Hz each)), and three Me groups (δ (H) 0.77 (Me(9')), 0.84 (Me(10')), and 1.64 (Me(7'))). The ¹³C-NMR spectrum of **3** (*Table 2*) showed the presence of two CH₂ groups (δ (C) 24.3 (C(5')) and 32.1 (C(6'))), three CH groups (δ (C) 30.3 (C(8')), 37.5 (C(3')), and 42.4 (C(4'))).

In the HMBC experiments with **3** (*Fig. 3*), the Me signals at $\delta(H) 0.77$ (Me(9')) and 0.84 (Me(10'))) showed correlations with the signals at $\delta(C) 30.3$ (C(8')) and 42.4 (C(4')), and the Me signal at $\delta(H) 1.64$ (Me(7')) showed correlations with the signals at $\delta(C) 32.1$ (C(6')), 125.6 (C(2')), and 135.1 (C(1')). These observations together with the CH₂ and CH ¹H,¹H-correlation shown in *Fig. 3* suggested that **3** is a 3-substituted menthene derivative with a freely rotating side-chain [10][11]. The olefinic H-atoms at $\delta(H) 7.38$ (H–C(7)) and 6.77 (H–C(6)) correlated with a quaternary C-atom at $\delta(C) 158.8$ (C(5)) and the aromatic C-atom at $\delta(C) 137.2$ (C(8)). The olefinic H–C(4) ($\delta(H) 6.16$ (*s*)) showed correlations with the quaternary C-atoms at $\delta(C) 158.8$ (C(5)),

	$\delta(\mathrm{H})^{\mathrm{a}})$	$\delta(C)^{b}$
C(1)		166.7
C(2)		108.4
C(3)		168.5
H-C(4)	6.16(s)	102.9
C(5)		158.8
H-C(6)	6.77 (d, J = 16.0)	120.4
H-C(7)	7.38 (d, J = 16.0)	136.0
C(8)		137.2
H-C(9,13)	7.55 - 7.57(m)	128.7
H-C(10,12)	7.35 - 7.37(m)	130.2
H - C(11)	7.29 - 7.32 (m)	130.5
C(1')		135.1
H-C(2')	5.08(s)	125.6
H - C(3')	3.59 (d, J = 9.1)	37.5
H-C(4')	2.07 - 2.09 (m)	42.4
CH ₂ (5')	1.27 - 1.36 (m), 1.74 - 1.76 (m)	24.3
CH ₂ (6')	1.94 - 1.97 (m), 2.11 - 2.14 (m)	32.1
Me(7')	1.64(s)	23.9
H-C(8')	1.56 - 1.58 (m)	30.3
Me(9')	0.77 (d, J = 7.0)	17.2
Me(10')	0.84 (d, J = 7.0)	22.1

Table 2. ¹*H*- and ¹³*C*-*NMR* Data of 3^{1}). δ in ppm, *J* in Hz.

168.5 (C(3)), and 108.4 (C(2)). These observations indicated the presence of a yangonin-like moiety [7] (yangonin = 4-methoxy-6-[(1*E*)-2-(4-methoxyphenyl)ethenyl]-2*H*-pyran-2-one). The attachment of the 3-substituted menthene moiety at C(2) was established by HMBCs from H–C(3') (δ (H) 3.59 (*d*, *J* = 9.1 Hz)) to δ (C) 108.4 (C(2)). The ¹H,¹H-COSY data (*Fig. 3*) confirmed the structure of **3**.

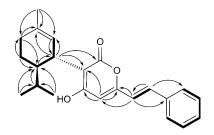


Fig. 3. Selected HMBCs $(H \rightarrow C)$ and ${}^{1}H$, ${}^{1}H$ -COSY (---) of 3

The configuration at C(3') and C(4') of **3** was deduced from the ¹H-NMR and NOESY data. The position of H-C(3') and H-C(4') was established by the relevant ¹H,¹H-COSY. The large coupling constant (J=9.1 Hz) for H-C(3') showed that the menthene moiety had a 3',4' *trans*-configuration. The absolute configuration at C(3') and C(4') was determined by comparison of the sign of the optical activity of **3** with that of the known compounds (+)-linderatin (= 3-phenyl-1-[2,4,6-trihydroxy-3-[(1R,3R)-3-

methyl-6-(1-methylethyl)cyclohex-2-en-1-yl]phenyl}propan-1-one) and (+)-neolinderatin (= 3-phenyl-1-{2,4,6-trihydroxy-3,5-bis[(1*R*,3*R*)-3-methyl-6-(1-methylethyl)cyclohex-2-en-1-yl]phenyl}propan-1-one). Compound **3** was dextrorotatory, as (+)-linderatin [10][11]. Thus, the absolute configuration at C(3') and C(4') was determined to be (3'*R*,4'*R*). The correlation from H–C(3') to H–C(8') and Me(9') in the NOESY (*Fig. 4*) confirmed the stereostructure of **3**. Thus, the structure of **3** was determined as 4-hydroxy-3-[(1*R*,6*R*)-3-methyl-6-(1-methylethyl)cyclohex-2-en-1-yl]-6-[(1*E*)-2-phenylethenyl]-2*H*-pyran-2-one and named katsumadain (*Fig. 1*).

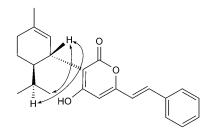


Fig. 4. Key NOESY (\leftrightarrow) correlations of 3

We found that the absolute configuration at C(3) of the cyclohex-1-en-3-yl moieties of (+)-linderatin and (+)-neolinderatin in [10][11] is (3*R*), and not (3*S*) as expressed in those articles. Thus, the name of (+)-linderatin and (+)-neolinderatin should be 2',4',6'-trihydroxy-3'-[(3*R*,4*R*)-4-isopropyl-1-methylcyclohex-1-en-3-yl]dihydrochalcone and 2',4',6'-trihydroxy-3',5'-bis[(3*R*,4*R*)-4-isopropyl-1-methylcyclohex-1-en-3-yl]dihydrochalcone (for the systematic name of (+)-linderatin and (+)-neolinderatin, see above).

Experimental Part

General. TLC: silica gel GF_{254} plates (SiO₂; Qingdao Ocean Chemical Co., Ltd., Qingdao, P. R. China). Column chromatography (CC): SiO₂ (100–200 or 200–300 mesh; Qingdao Ocean Chemical Co., Ltd., Qingdao, P. R. China), ODS (SepaxGP-C₁₈, 40–60 µm, HPLC packing material), Sephadex LH-20 (GE-Healthcare Bio-Sciences AB), Ultimate XB-C₁₈ column (250 × 4.6 mm, 5 µm, Welch Materials Inc.). HPLC: Agilent HPLC series 1100 (Agilent, Waldbronn, Germany), equipped with ChemStation software, a G1322A degasser, a G1312A binary gradient pump, a G1313A autosampler, a G1316A column oven, and a G1315A diode array detector. Optical rotations: Krüss-P800-T polarimeter. UV Spectra: TU-1901 spectrometer (Beijing Purkinje General Instrument Co., Ltd.). IR Spectra: NicoletTM-380 spectrometer from Thermo Electron; $\tilde{\nu}$ in cm⁻¹. 1D- and 2D-NMR Spectra: Bruker-AV-500 or Bruker-AV-400 instruments; δ in ppm rel. to Me₄Si as internal standard, J in Hz. HR-ESI-MS: Premior-Q-TOF spectrometer; in m/z (rel. %).

Plant Material. The seeds of *Alpinia katsumadai* were collected in Hainan Province, P. R. China, in April 2007, and identified by *Li-Hong Wu* (Shanghai R&D Center for Standardization of Chinese Medicines). A voucher specimen (No. 07-04-29) was deposited with the Herbarium of Shanghai R&D Center for Standardization of Chinese Medicines.

Extraction and Column Chromatography. The air-dried, powdered seeds of *Alpinia katsumadai* (18 kg) were extracted with 70% EtOH (3×1201) under reflux for 2.0 h. After removal of EtOH by evaporation, the resulting residue (4 kg) was suspended in H₂O (81) and extracted successively with AcOEt (1×41 , 2×31) and BuOH (1×41 , 2×31). The AcOEt fraction (1 kg) was subjected to CC (SiO₂, gradient petroleum ether/AcOEt 50:1 \rightarrow AcOEt): *Fractions 1–6* (by TLC). *Fr. 2* (150 g) was

subjected to CC (SiO₂, gradient petroleum ether/AcOEt $30:1 \rightarrow 10:1$): *Frs.* 2a-2c. *Fr.* 2b (30 g) was recrystallized from MeOH: **4** (20 g). *Fr.* 4 (200 g) was subjected to CC (SiO₂, gradient petroleum ether/CH₂Cl₂ $10:1 \rightarrow$ CH₂Cl₂): *Frs.* 4a-4f. *Fr.* 4c (15 g) was submitted successively to CC (SiO₂, petroleum ether/CH₂Cl₂ 1:1) and CC (*Sephadex LH-20*, MeOH): **5** (300 mg). *Fr.* 4d (20 g) was subjected to CC (ODS, MeOH/H₂O 3:7), and the crude isolate was recrystallized from MeOH: **3** (76 mg). *Fr.* 4e (7 g) was submitted to repeated CC (SiO₂, CH₂Cl₂) and reversed-phase HPLC (*Ultimate XB-C₁₈* (4.6×250 mm), MeOH/H₂O 79:21, flow rate 1 ml/min): **1** (12.9 mg) and **2** (7.5 mg). *Fr.* 5 (100 g) was subjected to CC (SiO₂, gradient petroleum ether/AcOEt $10:1 \rightarrow 1:1$): *Frs.* 5a-5c. *Fr.* 5b (35 g) was recrystallized from AcOEt: **6** (25 g).

(2E)-1-{2,4-Dihydroxy-3-[(1S,2E,5S)-5-hydroxy-1,7-diphenylhept-2-en-1-yl]-6-methoxyphenyl]-3-phenylprop-2-en-1-one (=Katsumain A; 1): Pale yellow amorphous solid. $[a]_{D}^{2D} = -68.2$ (c = 0.45, MeOH). IR (KBr): 3448, 1604, 1567, 1514, 1449. ¹H- and ¹³C-NMR: *Table 1*. HR-ESI-MS (pos.): 557.2314 ($[M + Na]^+$; calc. 557.2304).

(2E)-1-{2,4-Dihydroxy-3-[(1R,2E,5S)-5-hydroxy-1,7-diphenylhept-2-en-1-yl]-6-methoxyphenyl}-3-phenylprop-2-en-1-one (= Katsumain B; 2): Pale yellow amorphous solid. $[\alpha]_D^2 = +102 (c = 0.5, MeOH)$. IR (KBr): 3434, 1600, 1565, 1514, 1450. ¹H- and ¹³C-NMR: *Table 1*. HR-ESI-MS (pos.): 557.2327 ([M + Na]⁺; calc. 557.2304).

4-Hydroxy-3-[(1R,6R)-3-methyl-6-(1-methylethyl)-cyclohex-2-en-1-yl]-6-[(1E)-2-phenylethyl]-2H-pyran-2-one (= Katsumadain; **3**): Pale white powder. $[a]_{D}^{2D} = +170 \ (c = 0.4, \text{ MeOH})$. IR (KBr): 3432, 1649. ¹H- and ¹³C-NMR: *Table 2*. HR-ESI-MS (neg.): 349.179 ([M - H]⁻; calc. 349.1804).

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